**A MOSAIC of methods: Improving ortholog detection through the integration of algorithmic diversity**

M. Cyrus Maher1 and Ryan D. Hernandez2,3,4

1Department of Epidemiology and Biostatistics, University of California, San Francisco, 185 Berry Street, Lobby 5, Suite 5700 San Francisco, CA 94107 2Department of Bioengineering and Therapeutic Sciences, 3Institute for Human Genetics, 4Institute for Quantitative Biosciences (QB3), University of California, San Francisco, 1700 4th Street San Francisco, California 94158

**Keywords:**

Multiple sequence alignment, ortholog detection, evolution

**Abstract:**

**Ortholog detection (OD) is a critical step for comparative genomic analysis of protein-coding sequences. A range of OD methods have been developed. However, relative performance varies between datasets, stymying attempts to identify a single best method. In this paper, we present a novel tool, MOSAIC, which is capable of integrating arbitrarily many OD methods. We identify orthologs to human sequences by combining four methodologically diverse OD methods within MOSAIC. Relative to component and competing methods, we demonstrate large gains in the number of detected orthologs while simultaneously maintaining or improving functional-, phylogenetic-, and sequence identity-based measures of ortholog quality.**

**#NOTE: word limit is 100**

**Background:**

Orthologs are genes in distinct species that share a common ancestral gene but have diverged from one another by speciation. It is common in comparative genomics and phylogenetics to extract evolutionary information about a given gene through the alignment of orthologous sequences. To make this possible, orthologs must first be inferred from genomic sequences, making ortholog detection (OD) an indispensible first step in a variety of phylogenetic inference tasks [1, 2].

In general, existing OD methods can be classified as tree-based, graph-based, or a hybrid of the two [3]. Tree-based methods may use reconciliation techniques between gene and species trees or may rely on the gene tree alone. Graph-based methods employ a variety of metrics to quantify similarity between sequences, including BLAST scores or sequence identity. Information about the conserved gene neighborhood may also be included in this context. Techniques such as Markov clustering may be then be applied to create orthologous groups, or one may simply define clusters based on the graph’s existing connections [4].

Unfortunately, the few benchmarking studies that have sampled broadly from this methodological diversity have provided equivocal results. Although there are general trends in relative effectiveness between individual methods, performance is highly context-dependent and does not always favor more sophisticated approaches [5–7]. This is discouraging from the point of view of identifying a single best OD method, but it also suggests a promising new venue for methodological improvement. By harnessing differences between OD methods, a wide variety of algorithms may play complementary roles within a cooperative inference framework.

Here, we chose to analyze four methodologically distinct OD methods: 1.) MultiParanoid, a reciprocal-BLAST plus Markov clustering method [8]; 2.) TBA, a synteny-based aligner used to produce UCSC’s MultiZ alignments [9]; 3.) six-frame translated BLAT, a fast, approximately-scored protein query approach that does not rely on predicted proteomes [10]; and 4.) OMA, a well-established tree-based method [11]. We apply these methods to OD for a range of primates and closely related mammals and demonstrate that the relative quality of these methods varies widely by species and appears to depend critically on genome quality.

We then characterize the striking performance gains yielded by combining these methods using metrics based on sequence identity, phylogenetic tree concordance, and Hidden Markov Model-based functional agreement. Finally, we demonstrate that this methodologically diverse approach yields large improvements over metaPhOrs [12], a homogeneous OD integration database that reconciles data from seven phylogeny-based ortholog databases. The implementation of this novel approach for the integration of diverse ortholog detection methods is presented as the software tool, MOSAIC, or **M**ultiple **O**rthologous **S**equence **A**nalysis and **I**ntegration by **C**luster optimization. MOSAIC offers striking gains in the number of orthologs detected relative to existing approaches, while simultaneously maintaining or improving functional-, phylogenetic-, and sequence identity-based measures of ortholog quality. It is available as both a standalone program and as a module in Biopython v.1.62 [13]. Alignments referenced in the paper are available at [URL].

**Results and Discussion:**

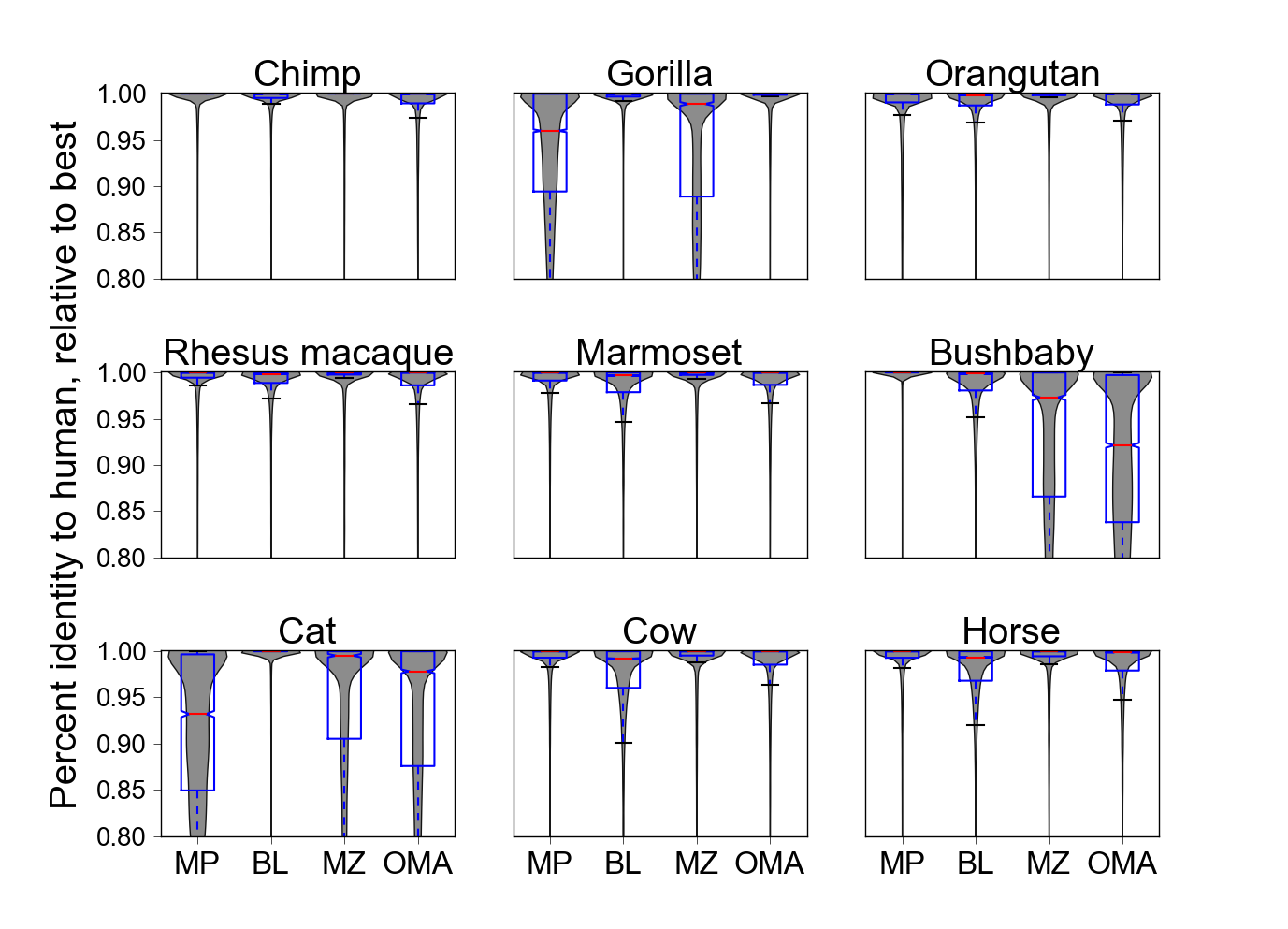
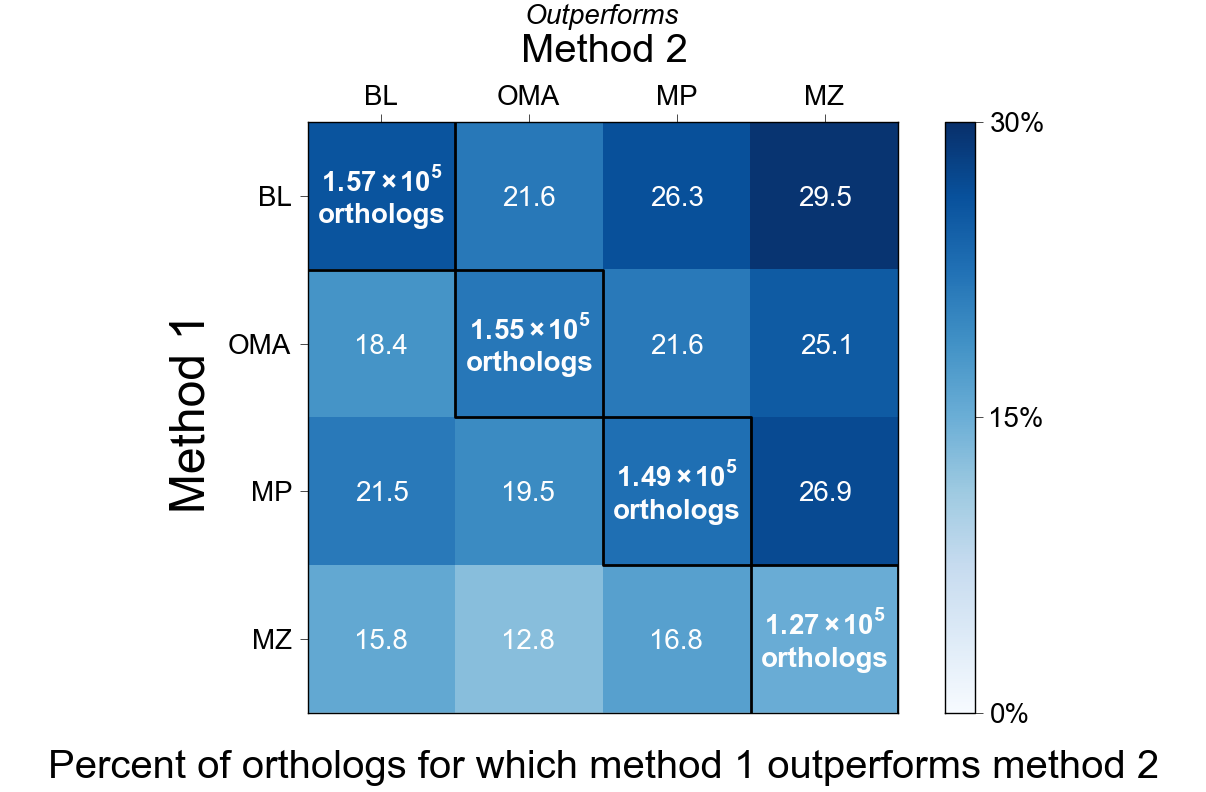
*Ortholog detection methods frequently outperform one another*

It is well-known in theory [14] and in practice [15] that the comparative performance of competing statistical inference algorithms often varies by context. Rather than search for a single best algorithm, researchers have sought to integrate a variety of methods in order to reap the benefits of methodological complementarity [16–18]. As might be expected, the gains yielded by this approach generally scale with the quality of the individual methods integrated, the number of methods included, and, importantly, the diversity of the comprised algorithms [19]. Here, we integrate results from four OD methods that were chosen for their high-quality and methodological diversity.

In Figure 1, we show the head-to-head performance of these different methods for a range of primates and closely related mammals. Performance is assessed on alignments generated between all human consensus coding sequences (CCDS) [20] and their corresponding orthologs. More specifically, we examine the proportion of putative orthologs from all species for which the level of sequence identity to human is at least five percentage points higher for one particular method versus another. By this metric, we observe that any single method significantly outperforms another 10% to 30% of the time. Importantly, no method uniformly outperforms all others, underlining the complementarity of the chosen methods.

We next evaluated percent identity to human for each ortholog proposed by each method relative to the highest scoring ortholog from all methods. Figure 1B demonstrates that relative performance is species-specific. In particular, we note that the performance disparities across methods are much more pronounced for gorilla, bushbaby, and cat, both in terms of the number and quality of obtained orthologs.

Examining each OD method in detail yields some hypotheses about the origin of these differences in performance. Errors in proteome prediction are likely to have large and possibly different effects on both MultiParanoid and OMA. Meanwhile, spurious syntenic information is expected to compromise the integrity of ortholog predictions produced by MultiZ. Finally, the lack of an assembled genome for bushbaby may negatively impact the quality of the one-way BLAT approach due to the segmentation of exon sets across multiple unordered scaffolds.



***Figure 1. Comparison of sequence identity levels between methods*** *A.) Heat map of the percent of orthologs for which MultiParanoid (MP), OMA (OMA), BLAT (BL) and MultiZ (MZ) outperform one another. Performance is based on percent identity of each method’s orthologs to the human sequence. One method is considered to outperform another method if it improves percent identity by at least five percentage points. Text in diagonal cells shows the number of orthologs identified by each method, colored by the percent of transcripts at which a given method outperforms all the others. B.) Distributions of percent identity relative to the highest scoring ortholog, stratified by species.*

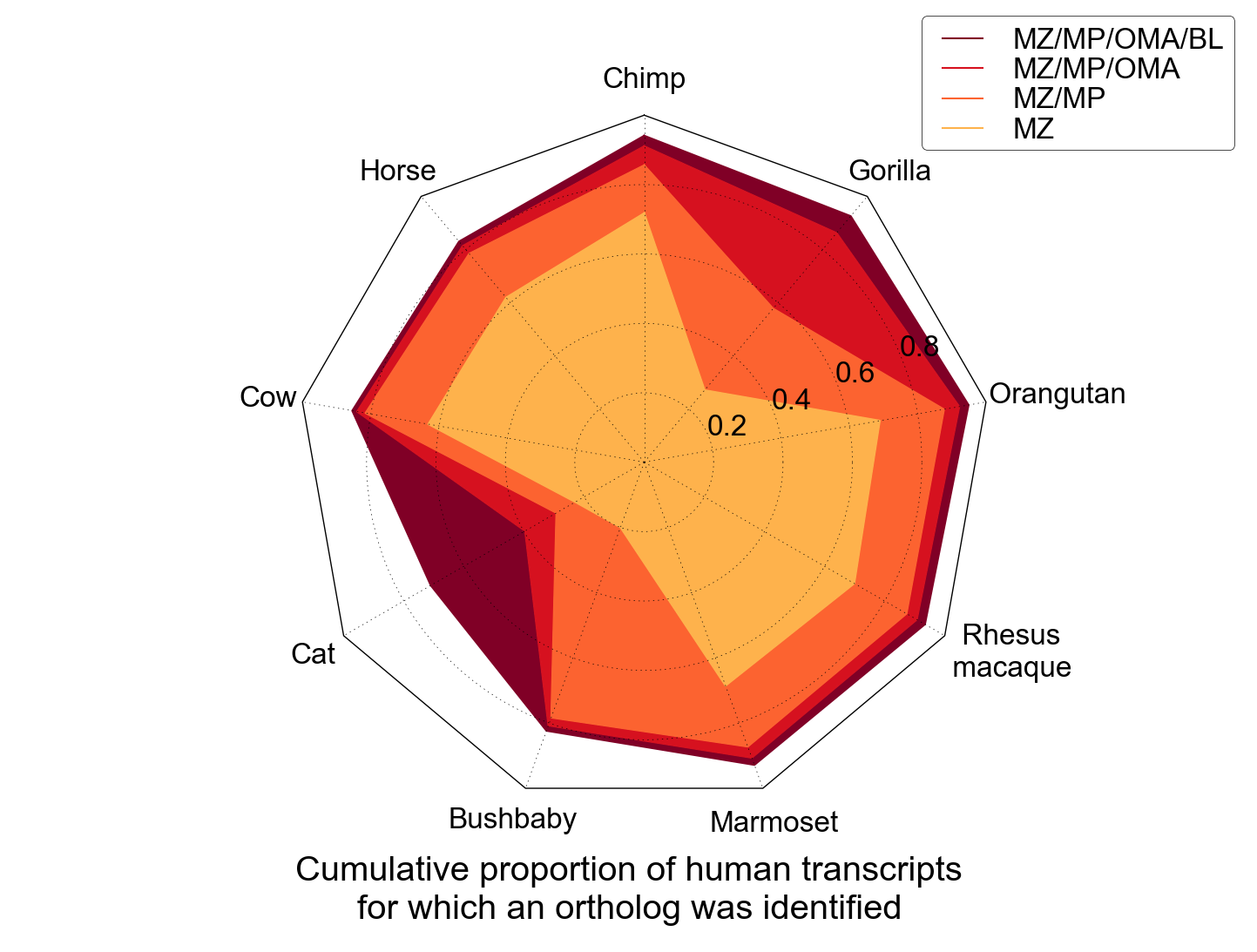
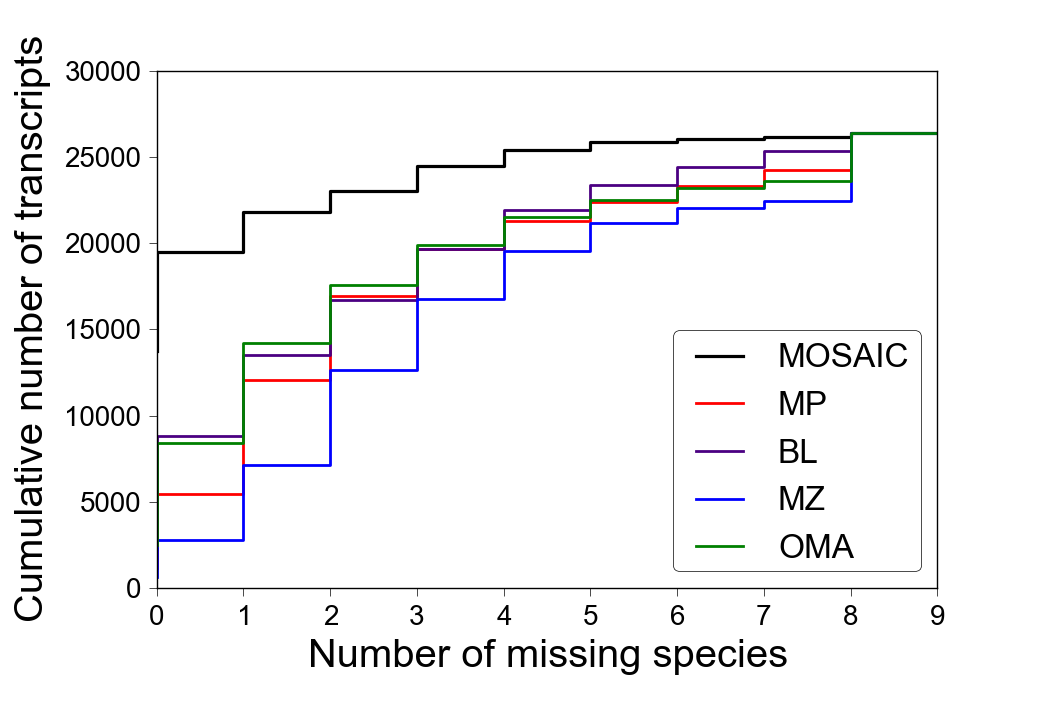
*Combining multiple sequence alignments with MOSAIC*

Given the complementarity between OD methods, we developed a framework for the automatic integration of methodologically diverse OD methods, termed MOSAIC, or **M**ultiple **O**rthologous **S**equence **A**nalysis and **I**ntegration by **C**luster optimization. MOSAIC allows for the flexible integration of diverse OD methods through the application of standard or user-defined metrics of sequence divergence and ortholog cluster quality. Using specified divergence metrics to define edge weights, clusters of proposed orthologs are built. These orthologs are then adopted or rejected in order to optimize cluster completeness and quality (e.g., distance to a reference sequence or average pairwise distance).

For the examples presented here, we consider a protein set with relatively low levels of evolutionary divergence, and so choose percent identity as our metric for sequence divergence. However, for more distantly related species, the application of scoring matrices [21, 22] or Hidden Markov Models [ref] may be preferable for measuring divergence. For each human sequence, each method may propose an ortholog from each species. Corresponding putative orthologs are then evaluated according to the percent of sites in the human protein sequence that are identical to it (indels and substitutions are penalized equivalently, though affine scoring could be accommodated). The best-scoring ortholog among all methods is then chosen for each species.

*Combining methods increases the number of included sequences*

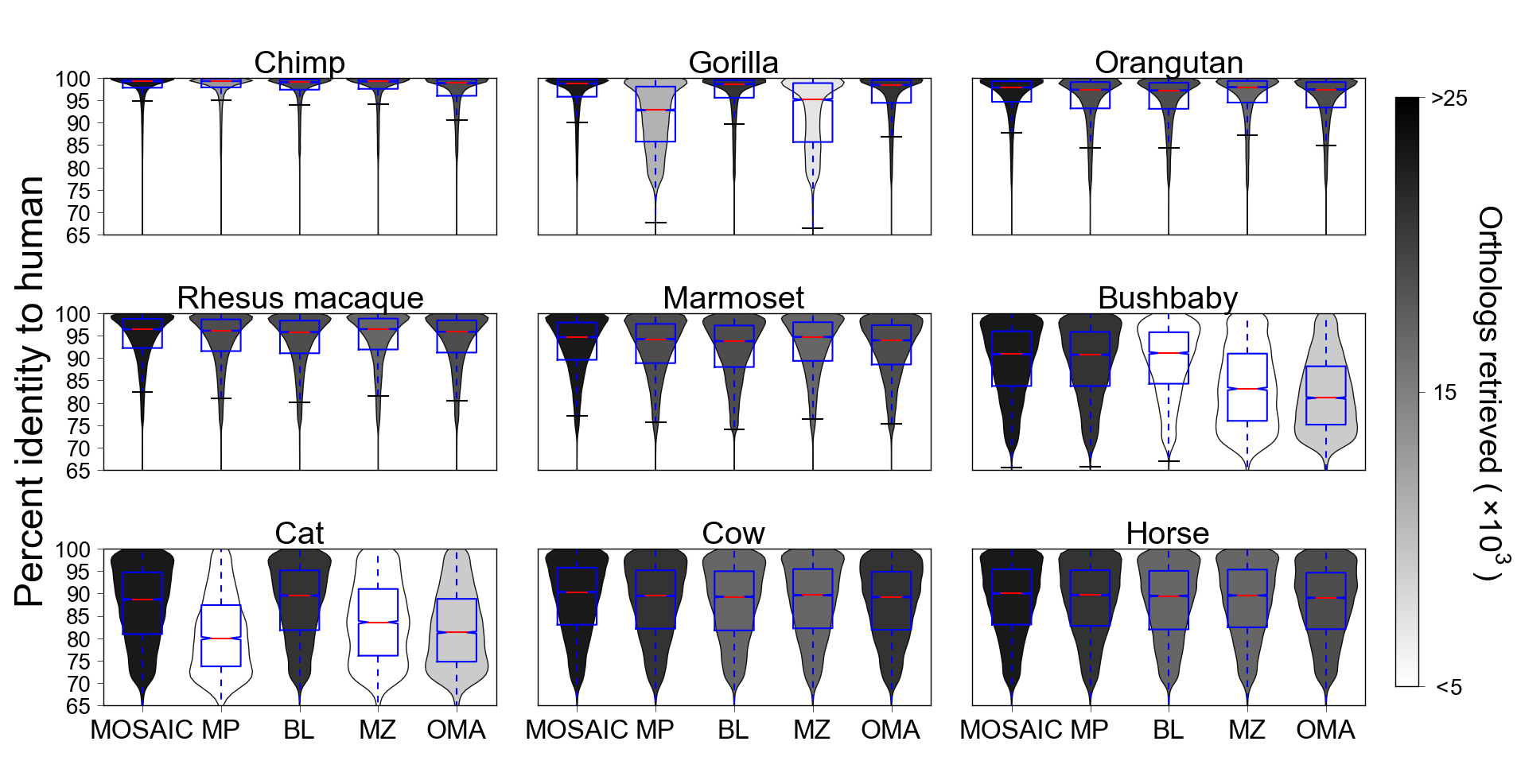
To assess the efficacy of MOSAIC, we first examined the total number of species included in alignments to human CCDS sequences. For MOSAIC and each OD method, we observe the number of alignments to human CCDS as a function of the maximum number of missing species allowed. Strikingly, the integration of methods more than doubles the number of alignments for which all species are present (Figure 2a). As expected, the gains afforded by MOSAIC are species-specific and increase as a function of the number of methods that are included (Figure 2b). Using MultiZ as a baseline, we observe once again that the largest improvements are seen for gorilla, bushbaby, and cat. Importantly, orthologs for each of these three species are rescued by different methods (OMA for gorilla, MultiParanoid for bushbaby, and BLAT for cat), further demonstrating the power of integrating diverse OD methods (Figure S1).

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***Figure 2. OD power and the effect of pooling methods*** *A.) The cumulative number of human transcripts as a function of the maximum number of missing species allowed. B.) The cumulative proportion of human transcripts for which an ortholog was detected, stratified by species. Envelopes illustrate results from pooling an increasing number of methods.*

*The addition of new sequences does not sacrifice average levels of sequence identity*

In our current examples, MOSAIC optimizes sequence identity to human, limiting the utility of this metric for assessing performance. Indeed, for a given putative ortholog, MOSAIC is guaranteed to improve or maintain percent identity compared to its constituent methods. Counterintuitively, this provides no assurance that MOSAIC will provide gains in *average* levels of percent identity. For example, average levels of percent identity could decrease if MOSAIC ensures the inclusion of a greater number of species by pulling in poorly scoring sequences that were initially filtered out by the majority of component methods. However, we see that this is not the case. Indeed, for each species MOSAIC retrieves a much larger number of sequences than any method alone, while maintaining levels of percent identity comparable to those of the best performing method (Figure 3).

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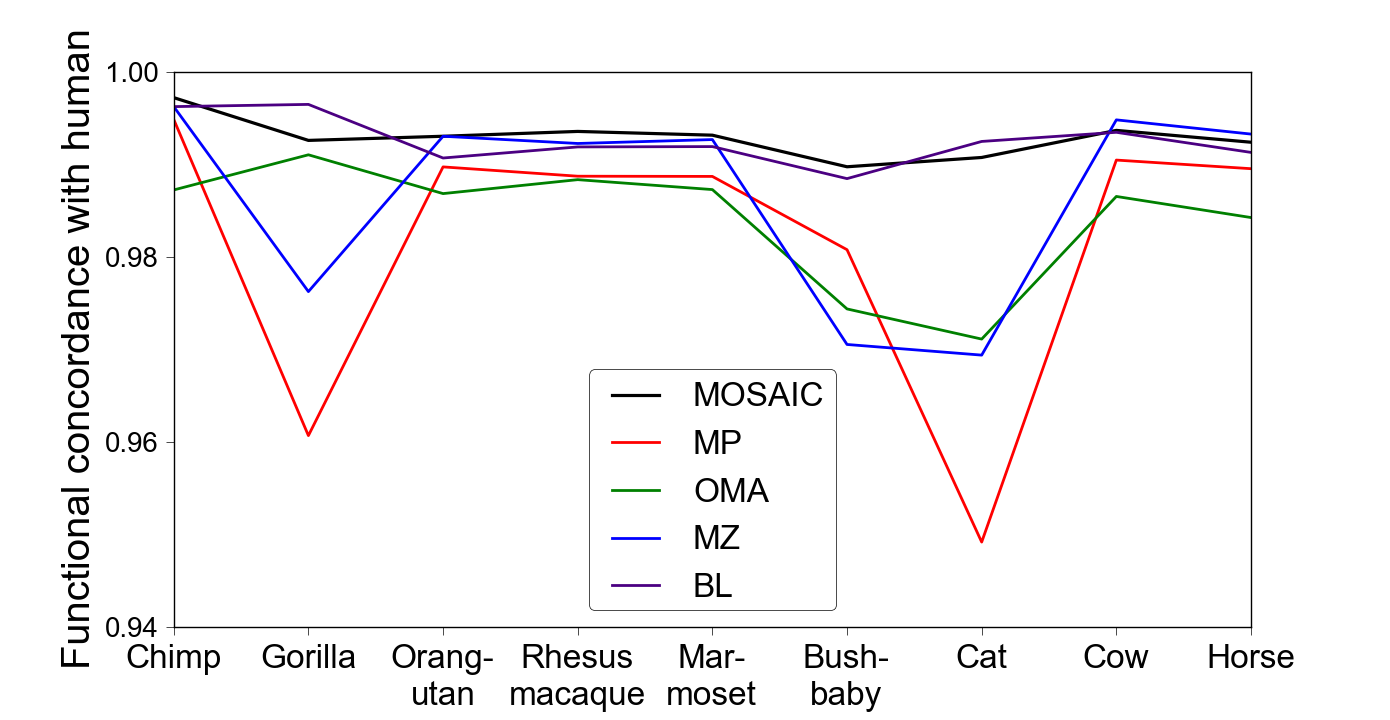
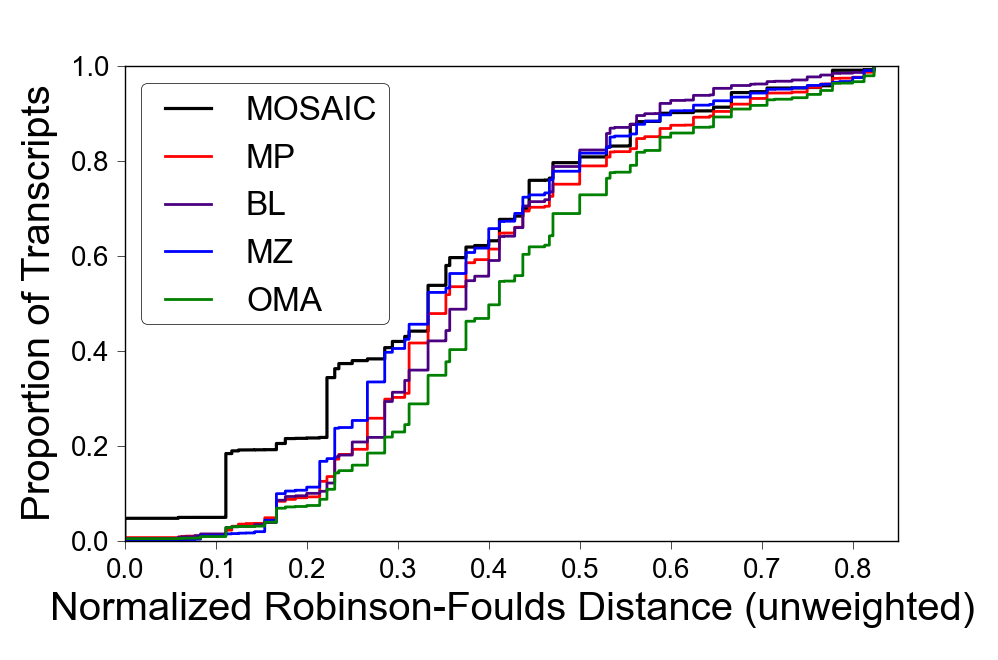
***Figure 3. The effect of method integration on sequence identity.*** *A comparison of the overall distributions of percent identity to human for MOSAIC and its component methods. As in Figure 1B, smoothed distributions underlying the boxplots are shaded according to the number of human transcripts for which an ortholog was proposed. White denotes 5000 sequences or less. Darker shades signify increasingly larger numbers of detected orthologs.*

*Integrating methods leads to higher levels of phylogenetic and functional concordance*

To further examine the effect of MOSAIC on alignment quality, we assessed phylogenetic and functional concordance across methods. Phylogenetic concordance was ascertained by calculating the normalized, unweighted Robinson-Foulds (RF) distance [23] between gene trees and the established species tree. This metric is equal to the sum of the number of splits in one tree that are not present in the other, scaled by the total number of splits present across the two trees. Accordingly, larger RF distances correspond to worse agreement between gene and species trees. On a gene-by-gene basis, this metric should be interpreted with caution, since post-speciation admixture can lead to true discordance between the species tree and the phylogenetic history of a particular gene [24]. However, at the level of the genome, higher concordance between gene trees and the known speciation process strongly suggests a relative improvement in OD.

Figure 4a presents the cumulative proportion of alignments included as a function of the maximum allowable RF distance. Multiz is the best performing individual method, likely due to its utilization of syntenic information. Surprisingly, the tree-based OD method, OMA, is the worst performing method according to this tree-based metric. Combining all methods using MOSAIC leads to a strong enrichment of highly concordant gene trees, while providing performance that is competitive to MultiZ at more permissive RF distance cutoffs.

In addition, we used profile HMMs from the Protein Families Database A (PfamA) [25] and HMMER3 [26] to ascertain functional concordance between proposed orthologs and the human CCDS of interest. PfamA builds HMMs via curated alignments of small numbers of representative members from each protein family. Using HMMER3, we queried protein sequences against all PfamA protein family profiles, annotating each protein according to its top protein family hit. This allowed for an ascertainment of functional concordance that is vastly more comprehensive than relying on gene-by-gene annotation across species, while retaining many of the advantages of manual curation. This assessment reveals that, for the set of orthologous sequences proposed by all methods, MOSAIC provides levels of functional concordance that are comparable to the best performing method and considerably better than most methods for gorilla, bushbaby, and cat (Figure 4b).

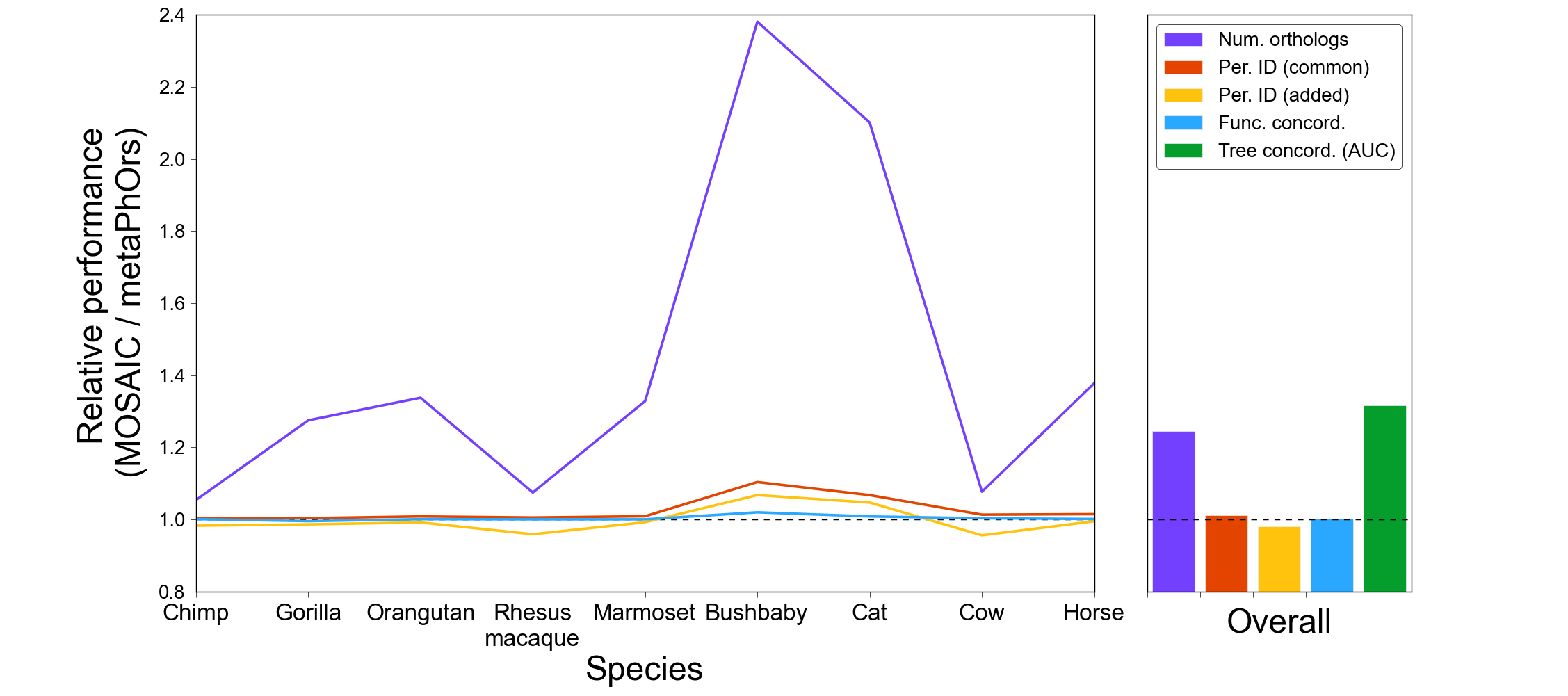
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***Figure 4. The effect of method integration on tree-based and functional concordance.*** *A.) The cumulative proportion of human transcripts as a function of the maximum allowable Robinson-Foulds distance between the gene tree and the species tree. B.) The rate of concordance between functional annotations for proposal orthologs and human transcripts.*

*MOSAIC significantly outperforms metaPhOrs*

We have shown that MOSAIC provides a large increase in the number of detected orthologs relative to its component methods, while simultaneously maintaining or improving functional-, phylogenetic-, and sequence identity-based measures of ortholog quality. Next, we compared MOSAIC to the only alternative that combines OD methods of which we are aware: metaPhOrs [12]. Using an approach based on tree overlap, metaPhOrs integrates ortholog predictions using phylogenetic trees from seven databases: PhylomeDB, Ensembl, TreeFam, EggNOG, OrthoMCL, COG, and Fungal Orthogroups.

We compared MOSAIC and metaPhOrs based on the number of retrieved orthologs, average differences in sequence identity, and comparative levels of functional and phylogenetic concordance. We observe that MOSAIC provides large increases in the number of retrieved orthologs, while providing slight improvements in sequence identity for those cases where proposal orthologs are available from both methods (Figure 5). For the cases where MOSAIC predicted an ortholog but metaPhOrs did not, we examined the level of sequence identity in these sequences compared to the species-specific average returned by metaPhOrs. We find that these additional sequences display levels of sequence identity comparable to those provided by metaPhOrs. Finally, we observe that MOSAIC yields a slight increase in functional concordance, as well as a 40% increase in tree concordance, measured as the area under the curve below an RF distance of 0.5. A 0.5 threshold was chosen because there is little differentiation between methods after this point (see Figure 4a).

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***Figure 5. A comparison between MOSAIC and metaPhOrs.*** *The relative performance between MOSAIC and metaPhOrs according to five metrics: 1.) the number of orthologs detected (purple); 2.) the percent identity to human for orthologs present in both (red); 3.) the percent identity to human for orthologs unique to MOSAIC compared to metaPhOrs species-specific average (yellow); 4.) rate of functional concordance between proposal orthologs and human transcripts (blue); and 5.) concordance between gene and species trees, as measured by a normalized, unweighted Robinson-Foulds distance (green).*

**Conclusions:**

In this paper we have introduced a novel algorithm, MOSAIC, which is capable of integrating an arbitrary number of methodologically diverse ortholog detection methods. We have demonstrated that MOSAIC provides large increases in power relative to its component methods, while simultaneously maintaining or improving functional-, phylogenetic-, and sequence identity-based measures of ortholog quality. Furthermore, despite including several fewer methods, MOSAIC demonstrates significant improvements over, metaPhOrs, the only other OD integration method of which we are aware.

MOSAIC provides the unique flexibility to incorporate any OD method, thereby increasing methodological diversity, improving OD performance, and allowing researchers to take advantage of methodological gains in a variety of areas of OD research. MOSAIC is available as a standalone program and as a new module in Biopython v.1.62 [13].

**Materials and methods:**

*Retrieval of orthologs*

For each human consensus coding sequence, we sought to retrieve orthologs for chimp, gorilla, rhesus macaque, marmoset, bushbaby, cat, cow, and horse. In the case of MultiZ [9], CCDS orthologs were downloaded directly from the UCSC genome browser [27]. For OMA [11], ortholog predictions were downloaded from omabrowser.org. For genes with more than one CCDS, orthologs were mapped to each analyzed transcript.

For BLAT [10], genomes for each species of interest were downloaded from the NCBI Entrez Genome database [28]. Queries were conducted using the following command structure:

blat -q=prot -t=dnax -minIdentity=70 –extendThroughN [genome file] [query file] [output file]

For MultiParanoid [8], an all-versus-all blast search was run using the following command structure:

blastp -db $blastdatabase -query [query file] -out [output file] -evalue .01 -num\_threads [number of threads] -outfmt 6 -db\_soft\_mask 21 -word\_size 3 -use\_sw\_tback

From this output, ortholog predictions were produced using the standard MultiParanoid protocol.

To remove possibly spurious orthologs, proposals from each method were then filtered according to a species-specific sequence identity cutoff, as described below.

*Filtering and integration of orthologs*

For each proposed ortholog for a given CCDS, the CCDS and the orthologous sequence under consideration were globally realigned using the program stretcher from the EMBOSS toolkit [29]. Percent identity was then calculated as the percent of sites in the human sequence that were identical in the orthologous sequence. For example, the hypothetical sequence below would be scored as 71% identical (5/7), since there are 2 mismatches between the seven sites present in the human sequence and the character to which those sites are aligned in the chimp sequence (sites where the human sequence has been deleted or the outgroup has an insertion are ignored):

Human A W V A - T F D

Chimp - W V R Y T F D

All orthologs with percent identity below a critical threshold were removed from all subsequent analyses. The cutoffs were chosen by empirically, considering the known level of genome-wide divergence between human and the species of interest, as well as the overall distributions of percent identity between putative orthologs in the two species. These cutoffs were as follows: chimp: 82%, gorilla: 77%, orangutan: 75%, rhesus macaque: 73%. A cutoff of 70% was employed for bushbaby, cat, cow, and horse.

After filtering, the orthologs with the highest percent identity from each species were accepted into the integrated orthologous cluster. These sequences were then aligned using MSAprobs [30].

*Quality assessment*

*Sequence identity*

Sequences aligned pairwise often display higher agreement than is observed in the comparison of the same two sequences within the context of a multiple sequence alignment (MSA). Since it is the quality of the MSA that is of primary importance to many downstream phylogenetic inference tasks, we appraised sequence identity with respect to the MSA rather than the pairwise alignment. This allows us to indirectly incorporate information about intra-cluster similarity, since MSAs between divergent sequences are expected to exhibit a larger degradation in performance relative to pairwise alignments.

*Tree concordance*

For each MSA, gene trees were built using RAxML [31]. An unweighted Robinson-Foulds (RF) distance [23] was then calculated between each gene tree and the known species tree using the python module dendropy [32]. Briefly, the unweighted RF distance counts the number of operations required to transform one tree into the other. This quantity is equal to the total number of edges that are present in one tree but not the other. To normalize for variations in tree size, we then divided this distance by the sum of the total number of edges in each tree [33].

*Functional concordance*

Profile HMMs were downloaded from the PfamA protein families database [25]. Each sequence was then annotated using the top scoring function retrieved by querying that sequence against the database of all PfamA protein family HMMs. This search was conducted using HMMER3 [26]. Functional concordance was then measured as a binary quantity, corresponding to whether or not a putative orthologous sequence had the same inferred function as its cognate human sequence.

All data were plotted using the python module matplotlib [34].

**List of abbreviations:**

Ortholog detection (OD), multiple sequence alignment (MSA), hidden markov model (HMM), Robinson-Foulds (RF), MultiParanoid (MP), MultiZ (MZ), BLAT (BL), OMA (OM)

**Competing interests:**

The authors have not competing interests to declare.

**Authors’ contributions:**

**Acknowledgements:**

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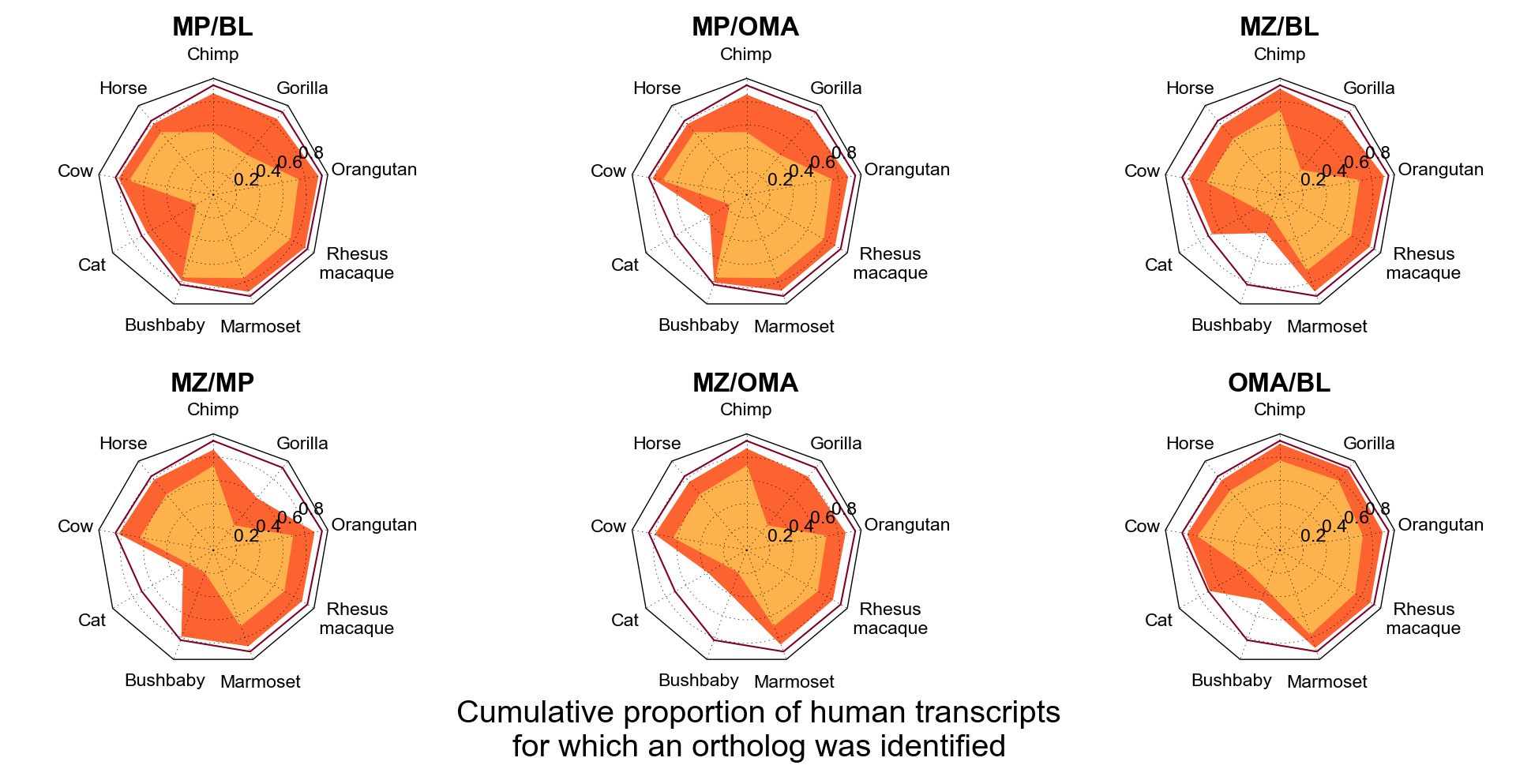
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**Supplemental Materials:**

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**Figure S1. Pairwise complementarity between methods.** *The cumulative proportion of human transcripts for which an ortholog was detected, stratified by species. Envelopes illustrate results from pooling all pairs of methods.*